## Equality Impact Assessment

- 1. An Equality Impact Assessment (EIA) is a document that summarises how the council has had due regard to the public sector equality duty (Equality Act 2010) in its decision-making. Although there is no legal duty to produce an EIA, the Council must have **due regard** to the equality duty and an EIA is recognised as the best method of fulfilling that duty. It can assist the Council in making a judgment as to whether a policy or other decision will have unintended negative consequences for certain people and help maximise the positive impacts of policy change. An EIA can lead to one of four consequences:
  - (a) No major change the policy or other decision is robust with no potential for discrimination or adverse impact. Opportunities to promote equality have been taken;
  - (b) Adjust the policy or decision to remove barriers or better promote equality as identified in the EIA;
  - (c) Continue the policy if the EIA identifies potential for adverse impact, set out compelling justification for continuing;
  - (d) Stop and remove the policy where actual or potential unlawful discrimination is identified.

#### Public sector equality duty

- 2. The Equality Act 2010 places a duty on the council, when exercising public functions, to have due regard to the need to:
  - (a) Eliminate discrimination, harassment and victimisation;
  - (b) Advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it;
  - (c) Foster good relations between persons who share a relevant protected characteristic and persons who do not share it (ie tackling prejudice and promoting understanding between people from different groups).

3. These are known as the three aims of the general equality duty.

#### **Protected characteristics**

- 4. The Equality Act 2010 sets out nine protected characteristics for the purpose of the equality duty:
  - Age
  - Disability
  - Gender reassignment
  - Marriage and civil partnership\*
  - Pregnancy and maternity
  - Race
  - Religion or belief
  - Sex
  - Sexual orientation

\*For marriage and civil partnership, only the first aim of the duty applies in relation to employment.

#### Due regard

- 5. Having 'due regard' is about using good equality information and analysis at the right time as part of decision-making procedures.
- 6. To 'have due regard' means that in making decisions and in its other day-to-day activities the council must consciously consider the need to do the things set out in the general equality duty: eliminate discrimination, advance equality of opportunity and foster good relations. This can involve:
  - removing or minimising disadvantages suffered by people due to their protected characteristics.
  - taking steps to meet the needs of people with certain protected characteristics when these are different from the needs of other people.
  - Encouraging people with certain protected characteristics to participate in public life or in other activities where it is disproportionately low.
- 7. How much regard is 'due' will depend on the circumstances The greater the

potential impact, the higher the regard required by the duty. Examples of functions and decisions likely to engage the duty include: policy decisions, budget decisions, public appointments, service provision, statutory discretion, decisions on individuals, employing staff and procurement of goods and services.

- 8. In terms of timing:
  - Having 'due regard' should be considered at the inception of any decision or proposed policy or service development or change.
  - Due regard should be considered throughout development of a decision. Notes shall be taken and kept on file as to how due regard has been had to the equality duty in research, meetings, project teams, consultations etc.
  - The completion of the EIA is a way of effectively summarising this and it should inform final decision-making.

#### Case law principles

- 9. A number of principles have been established by the courts in relation to the equality duty and due regard:
  - Decision-makers in public authorities must be aware of their duty to have 'due regard' to the equality duty and so EIA's <u>must</u> be attached to any relevant committee reports.
  - Due regard is fulfilled before and at the time a particular policy is under consideration as well as at the time a decision is taken. Due regard involves a conscious approach and state of mind.
- A public authority cannot satisfy the duty by justifying a decision after it has been taken.
- The duty must be exercised in substance, with rigour and with an open mind in such a way that it influences the final decision.
- The duty is a non-delegable one. The duty will always remain the responsibility of the public authority.
- The duty is a continuing one so that it needs to be considered not only when a

policy, for example, is being developed and agreed but also when it is implemented.

- It is good practice for those exercising public functions to keep an accurate record showing that they have actually considered the general duty and pondered relevant questions. Proper record keeping encourages transparency will and discipline those carrying out the relevant function to undertake the duty conscientiously.
- A public authority will need to consider whether it has sufficient information to assess the effects of the policy, or the way a function is being carried out, on the aims set out in the general equality duty.
- A public authority cannot avoid complying with the duty by claiming that it does not have enough resources to do so.

The Equality and Human Rights Commission has produced helpful guidance on "Meeting the Equality Duty in Policy and Decision-Making" (October 2014). It is available on the following link and report authors should read and follow this when developing or reporting on proposals for policy or service development or change and other decisions likely to engage the equality duty. <u>Equality Duty in decisionmaking</u>

| Lead officer:   | Christina Fuller   |
|---|--|
| Decision maker:   | Cabinet  |
| <ul> <li>Decision:</li> <li>Policy, project, service, contract</li> <li>Review, change, new, stop</li> <li>Date of decision:</li> <li>The date when the final decision is made. The EIA must be complete before this point and inform the final decision.</li> <li>Summary of the proposed decision:</li> <li>Aims and objectives</li> <li>Key actions</li> </ul> | <ul> <li>Note the progress made in procuring a new leisure operator, the timescales anticipated and lease arrangements proposed with Ashford Leisure Trust (ALT)</li> <li>Agree for appropriate officers to undertake the project work required to work in partnership to assist ALT in the</li> </ul>   |
| <ul> <li>Expected outcomes</li> <li>Who will be affected and how?</li> <li>How many people will be affected?</li> </ul>   | <ul> <li>appointment of a new Leisure Operator by means of a competitive market tender exercise;</li> <li>Approve the partnership and legal structure proposed between the Council and ALT (see p.22 of Appendix I 'Information Memorandum'); and</li> <li>Agree for Detailed Solutions from appropriately selected leisure operators to be presented to the Cabinet for consideration and agreement</li> <li>Ultimate aim to provide improved leisure facilities will affect people borough wide</li> </ul> |
| <ul> <li>Information and research:</li> <li>Outline the information and research that has informed the decision.</li> <li>Include sources and key findings.</li> </ul>  | <ul> <li>Thorough research of the leisure market has informed the decision to tender for a new leisure operator.</li> <li>Case studies of recent procurements have shown a market of about 8 large operators offering attractive deals to local authorities and reducing their subsidies and utility payments and jointly investing in facilities.</li> </ul>  |
| Consultation:   |  |
| <ul> <li>What specific consultation<br/>has occurred on this<br/>decision?</li> </ul>   | • Key officers from the Council have consulted with industry experts, leisure consultants, other local authorities and Trusts who have conducted procurement   |
| • What were the results of the consultation?  | processes and also with the Trust and ALT staff,<br>Members and users  |
| <ul> <li>Did the consultation analysis<br/>reveal any difference in views<br/>across the protected<br/>characteristics?</li> </ul>  | • The consultation has resulted in the belief that this is the best course of action to save the Council money and improve leisure facilities and management.  |
| • What conclusions can be drawn from the analysis on how the decision will affect people with different protected characteristics?  | The decision will have no impact on people with different protected characteristics.   |

# Assess the relevance of the decision to people with different protected characteristics and assess the impact of the decision on people with different protected characteristics.

When assessing relevance and impact, make it clear who the assessment applies to within the protected characteristic category. For example, a decision may have high relevance for young people but low relevance for older people; it may have a positive impact on women but a neutral impact on men.

| Protected characteristic        | <b>Relevance to Decision</b><br>High/Medium/Low/None | Impact of Decision<br>Positive (Major/Minor)<br>Negative (Major/Minor)<br>Neutral |
|---------------------------------|--|---|
| AGE                             | MEDIUM   | POSITIVE  |
| Elderly                         |  |   |
| Middle age                      | MEDIUM   | POSITIVE  |
| Young adult                     | MEDIUM   | POSITIVE  |
| Children                        | MEDIUM   | POSITIVE  |
| DISABILITY                      | MEDIUM   | POSITIVE  |
| Physical                        |  |   |
| Mental                          | MEDIUM   | POSITIVE  |
| Sensory                         | LOW  | NEUTRAL   |
| <u>GENDER RE-</u><br>ASSIGNMENT | NONE   | NEUTRAL   |
| MARRIAGE/CIVIL<br>PARTNERSHIP   | NONE   | NEUTRAL   |
| PREGNANCY/MATERNITY             | NONE   | NEUTRAL   |
| RACE                            | NONE   | NEUTRAL   |
| RELIGION OR BELIEF              | NONE   | NEUTRAL   |
| <u>SEX</u><br>Men               | NONE   | NEUTRAL   |
| Women                           | NONE   | NEUTRAL   |
| SEXUAL ORIENTATION              | NONE   | NEUTRAL   |

| Mitigating negative impact:  | N/A |
|--|-----|
| Where any negative impact<br>has been identified, outline<br>the measures taken to<br>mitigate against it. |     |

### Is the decision relevant to the aims of the equality duty?

Guidance on the aims can be found in the EHRC's *Essential Guide*, alongside fuller <u>PSED</u> <u>Technical Guidance</u>.

| Aim   | Yes / No / N/A |
|---|----------------|
| 1) Eliminate discrimination, harassment and victimisation   | YES            |
| <ol> <li>Advance equality of opportunity between persons who share a<br/>relevant protected characteristic and persons who do not share it</li> </ol> | YES            |
| <ol> <li>Foster good relations between persons who share a relevant<br/>protected characteristic and persons who do not share it</li> </ol>           | YES            |

| Conclusion:  |   |
|--|---|
| <ul> <li>Consider how due regard<br/>has been had to the<br/>equality duty, from start to<br/>finish.</li> </ul>   | Due regard has been made to the equality duty, from start to finish of the consultation and scoping process.  |
| <ul> <li>There should be no<br/>unlawful discrimination<br/>arising from the decision<br/>(see guidance above ).</li> <li>Advise on whether the<br/>proposal meets the aims of<br/>the equality duty or<br/>whether adjustments have<br/>been made or need to be<br/>made or whether any<br/>residual impacts are<br/>justified.</li> <li>How will monitoring of the<br/>policy, procedure or<br/>decision and its<br/>implementation be<br/>undertaken and reported?</li> </ul> | There will be no unlawful discrimination arising from the decision<br>The proposal meets the aims of the equality duty as all sections of the community including those with protected characteristics will benefit from the enhancements to the centre.<br>Monitoring of the policy, procedure or decision and its implementation be undertaken and reported will be undertaken by the partners. |
| EIA completion date:   | 20-2-18   |